FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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Name and Address of Reporting Person*     Zhou Jing						2. Issuer Name <b>and</b> Ticker or Trading Symbol IsoPlexis Corp [ ISO ]													wner	
(Last) (First) C/O ISOPLEXIS CORPORATION			(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 03/21/2023								X Officer (give title Other below)  Chief Scientific Officer					specify	
35 NE INDUSTRIAL ROAD					4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)					
(Street) BRANFORD CT			06405												X Form filed by One Reporting Person  Form filed by More than One Reporting  Person					
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a the affirmative defense conditions of Rule 10b5-1(c). See Instruction of the Indication of Rule 10b5-1(c).							ant to a cor	tract, instru	ction (	or written pla	an that	t is intended	to satisfy		
		Та	ble I - Nor	n-Deriv	vativ	ve Se	cur	ities Ac	quired,	Dis	posed c	of, or Be	eneficia	lly Own	ed					
Di					2. Transaction Date (Month/Day/Year		2A. Deemed Execution Date if any (Month/Day/Yea		Code (Inst		Dispose		Acquired (A) or (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Followin		Form	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) (D)	or Price	Tran	Reported Transaction (Instr. 3 au		n(s) id 4)		(Instr. 4)	
Common Stock 03/					1/20	1/2023			D		1,20	0 1	) (1	)	0			I	By Spouse IRA	
			Table II -						uired, D s, option					y Owne	d			·		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/N	ate, Tr	Code (I		5. N Deri Sec Acq or D of (I	umber of evative urities uired (A) bisposed D) (Instr. and 5)	6. Date Exercisable a Expiration Date (Month/Day/Year)		able and			8. Price of Derivative Security		9. Numbe derivative Securities Beneficial Owned Following Reported	e s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				C	ode	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Share	ber		Transaction( (Instr. 4)				
Stock Option	\$0.2788	03/21/2023			D			8,000	(3)	1	0/18/2025	Common Stock	8,000	(2)		0		D		
Stock Option	\$0.2788	03/21/2023			D			1,600	(4)	1	2/31/2025	Common Stock	1,600	(2)		0		D		
Stock Option	\$0.4775	03/21/2023			D			4,000	(5)	0	1/30/2027	Common	4,000	(2)		0		D		
Stock Option	\$0.7263	03/21/2023			D			22,000	(6)	1	0/24/2027	Common Stock	22,00	0 (2)		0		D		
Stock Option	\$0.9625	03/21/2023			D			8,000	(7)	1	2/13/2028	Common Stock	8,000	(2)		0		D		
Stock Option	\$1.0275	03/21/2023			D			30,000	(8)	1	2/03/2029	Common Stock	30,00	0 (2)		0		D		
Stock Option	\$1.83	03/21/2023			D			12,000	(9)	1	2/14/2030	Common Stock	12,00	0 (2)		0		D		
Stock Option	\$4.81	03/21/2023			D			100,000	(10)	0	6/07/2031	Common Stock	100,00	00 (2)		0		D		
Stock Option	\$3.43	03/21/2023			D			73,500	(11)	0	3/31/2032	Common Stock	73,50	0 (2)		0		D		

## **Explanation of Responses:**

- 1. On March 21, 2023, pursuant to the Agreement and Plan of Merger, dated as of December 21, 2022 (the Merger Agreement), by and among IsoPlexis Corporation, a Delaware corporation (IsoPlexis), Berkeley Lights, Inc., a Delaware corporation (Berkeley Lights, each share of common stock, par value \$0.001, of IsoPlexis (IsoPlexis Common Stock) reported in this Form 4 was converted into the right to receive 0.6120 fully paid and nonassessable shares of common stock, par value \$0.0005, of Berkeley Lights (Berkeley Lights Common Stock), together with cash in lieu of fractional shares of Berkeley Lights Common Stock, if any, and any unpaid dividends or other distributions.
- 2. On March 21, 2023, pursuant to the Merger Agreement, each IsoPlexis stock option (whether vested or unvested) reported in this Form 4 (a) if the per-share exercise price was equal to or greater than the average closing trading price for a share of IsoPlexis Common Stock rounded to the nearest one-tenth of a cent, as reported on The Nasdaq Stock Market LLC for the five most recent trading days ending on and including the third business day prior to March 20, 2023 (the Company Trading Price), was canceled for no consideration and (b) if the per-share exercise price was less than the Company Trading Price, was converted into a Berkeley Lights stock option of approximately equivalent value and generally on the same terms and conditions.
- 3. Vested 25% on October 29, 2016 and thereafter in 36 equal monthly installments.
- 4. Vested 25% on January 1, 2017 and thereafter in 36 equal monthly installments
- 5. Vested 25% on January 31, 2018 and thereafter in 36 equal monthly installments.
- 6. Vested 25% on October 25, 2018 and thereafter in 36 equal monthly installments.
- 7. Vested 25% on December 5, 2019 and thereafter in 36 equal monthly installments.
- 8. Vested 25% on December 4, 2020 and thereafter in 36 equal monthly installments.
- 9. Vested 25% on December 15, 2021 and thereafter in 36 equal monthly installments.
- 10. Vested 25% on June 8, 2022 and thereafter in 36 equal monthly installments.
- 11. Vests 25% on March 31, 2023 and thereafter in 36 equal monthly installments

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.