## SEC Form 5

 $\Box$ 

## FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL								
OWNERSHIP								

l								
OMB Number:	3235-0362							
Estimated average burden								
hours per response	: 1.0							

X Form	1 Transactions	Reported.	File	d pursuant to S or Section 3								4																												
1. Name and Address of Reporting Person*    Zhou Jing			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>IsoPlexis Corp</u> [ ISO ]						(Ch	eck all app Direc	plicable)		erson(s) to Issuer 10% Owner Other (specif		ner																									
(Last) (First) (Middle) C/O ISOPLEXIS CORPORATION				3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2021						1	X Oncer (give the below) below) below) Chief Scientific Officer					,																								
35 NE INDUSTRIAL ROAD			4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable						plicable																									
(Street) BRANF	ORD C	Γ (	06405								Line	e) <mark>X</mark> Form	filed by C filed by N	Dne Re	porting F	ersor	n																							
(City)	(St	ate) (.	Zip)																																					
		Table	I - Non-Deriv	ative Secu	ritie	s Acc	quire	ed, Dis	posed	of, o	r Bene	ficia	ally Own	ed																										
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Execution Date, Transa if any Code (		Transaction Of (D) (Instr. 3, 4 and 5)			sposed 5. Amount of Securities Beneficially Owned at end of		es ally	Ownership In Form: Direct B		Indir Bene	. Nature of ndirect eneficial wnership																								
				(Month/Day/ R		0)		Amount		(A) or (D)	Price		Issuer's	Fiscal Ind				(Instr. 4)																						
Common	Common Stock		12/15/2021		L4		L4		1,200		A \$7.03		1,2	1,200		Ι	By S IRA	Spouse A																						
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																																							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deri Sec Acq (A) ( Disp of (I	oosed D) tr. 3, 4	Expiration Date (Month/Day/Year) Date Ex		Expiration Da (Month/Day/h		piration Date onth/Day/Year) te Expiratio		e Expiration		iration Date nth/Day/Year) e Expiration		e Expiration		ration Date th/Day/Year) Expiration		ration Date th/Day/Year) Expiration		ration Date th/Day/Year) Expiration		ration Date hth/Day/Year)		ration Date hth/Day/Year) Expiration		ration Date th/Day/Year) Expiration		ration Date An th/Day/Year) Sr U Do Ss 3		Amount of Securities Underlying Derivative Security (Ins 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivativ Securitie Beneficia Owned Following Reported Transact (Instr. 4)	e s ally g	10. Owners Form: Direct ( or Indir (I) (Instr	hip D) ect	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

## /s/Jing Zhou

\*\* Signature of Reporting Person Date

01/03/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.